



10/594868

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	ROSE et al.	Examiner:	Unknown
Serial No.:	10/594868	Group Art Unit:	Unknown
Filed:	September 28, 2006	Docket No.:	09997.0138USWO
Title:	METHOD AND APPARATUS FOR COATING A SUBSTRATE USING DIELECTRIC BARRIER DISCHARGE		

CERTIFICATE UNDER 37 CFR 1.10:

"Express Mail" mailing label number: EV 850717816 US

Date of Deposit: February 16, 2007

I hereby certify that this paper or fee is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

By: _____
Name: John Junkers

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT (37 C.F.R. § 1.97(b))

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Dear Sir:

With regard to the above-identified application, the items of information listed on the enclosed Form 1449 are brought to the attention of the Examiner.

This statement should be considered because it is submitted before the mailing date of a first Office Action on-the-merits. Accordingly, no fee is due for consideration of the items listed on the enclosed Form 1449.

A copy of any foreign patent document or "Other Document" listed on the Form 1449 is enclosed, in accordance with 37 C.F.R. §1.98(a)(2). Because this application was filed after June 30, 2003, copies of the U.S. Patents and U.S. patent publications listed on the enclosed Form 1449 are not provided.

No representation is made that a reference is "prior art" within the meaning of 35 U.S.C. §§ 102 and 103 and Applicants reserve the right, pursuant to 37 C.F.R. § 1.131 or otherwise, to establish that the reference(s) are not "prior art." Moreover, Applicants do not represent that a

reference has been thoroughly reviewed or that any relevance of any portion of a reference is intended.

Consideration of the items listed is respectfully requested. Pursuant to the provisions of M.P.E.P. 609, it is requested that the Examiner return a copy of the attached Form 1449, marked as being considered and initialed by the Examiner, to the undersigned with the next official communication.

Please charge any additional fees or credit any overpayment to Deposit Account No. 13-2725.

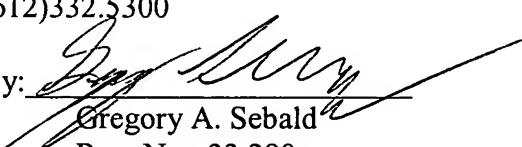


Dated: 2/16/07

GAS/ncb

Respectfully submitted,

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By: 
Gregory A. Sebald
Reg. No.: 33,280

FORM 1449*  INFORMATION DISCLOSURE STATEMENT IN AN APPLICATION (Use several sheets if necessary)	Docket Number:	Application Number:
	09997.0138USWO 10/594868	
	Applicant: ROSE et al.	
	Filing Date: September 28, 2006	Group Art Unit: Unknown

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

	DOCUMENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

		Novak "Hybrid Nanocomposite Materials - Between Inorganic Glasses and Organic Polymers" Advanced Materials: 5 (1993) 6
		Schubert et al. "Hybrid Inorganic - Organic Materials by Sol - Gel Processing of Organofunctional Metal Alkoxides" Chem. Mater. 7 (1995) 2010 or C. J. Brinker, G. Scherer, Sol-Gel-Science, The Physics and Chemistry of Sol-Gel, Processing, Academic Press (1990).

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PATENT TRADEMARK OFFICE

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form for next communication to the Applicant.